Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 http://lobbyingdisclosure.house.gov Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby

# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Organization/Lobbying Firm Self Employed CVS Health (and subsidiaries)	l Individual	
2. Address Address1 1275 Pennsylvania Avenue, NW	Address2 Suite 700	
City Washington State	<u>DC</u> Zip Code <u>20004</u>	Country USA
3. Principal place of business (if different than line 2)		
City Woonsocket State	<u>RI</u> Zip Code <u>02895</u>	Country <u>USA</u>
4a. Contact Name b. Telephone N	umber c. E-mail	5. Senate ID# 302778-12
7. Client Name Self Check if client is a state  CVS Health (and subsidiaries)	or local government or instrumentality	6. House ID# 394420000
9. Check if this filing amends a previously filed version of this report  10. Check if this is a Termination Report  Termination		•
INCOME OR EXPENSES - YOU	MUST complete either Line 12 or Line 1	13
12. Lobbying	13. Organizations	
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSE</b> relating to lobbying activities for this re	porting period were:
<u>Less than \$5,000</u>	<u>Less than \$5,000</u>	
\$5,000 or more \$	\$5,000 or more \$ 3,460,000.00	
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING</b> Check box to indicate expense as See instructions for description of options.	ecounting method.
	Method A. Reporting amounts using LDA defin	nitions only
	Method B. Reporting amounts under section 60 Internal Revenue Code	33(b)(8) of the
	Method C. Reporting amounts under section 16 Revenue Code	2(e) of the Internal
Signature Digitally Signed By: Shannon Penberthy	Da	4/20/2020 6:56:07 PM

- 15. General issue area code PHA
- 16. Specific lobbying issues

Issues related to medication adhere	ence, drug price transparency, and	prescription	on drug abuse.	
H.R. 748, The Coronavirus Aid, R. H.R. 803/S. 988, The Improving T. H.R. 2113, The Prescription Drug H.R. 2115, The Public Disclosure G. H.R. 3408, The Shop Rx Act of 20 S. 1895, The Lower Health Care C. S. 2543, The Prescription Drug Pri	ransparency and Accuracy in Med Sunshine, Transparency, Accounta of Drug Pricing Act of 2019 119 Posts Act of 2019			
17. House(s) of Congress and Fede				
U.S. SENATE, U.S. HOUSE OF R	EPRESENTATIVES, Health & H	uman Serv	vices - Dept of (HHS), White House Office	
18. Name of each individual who a	cted as a lobbyist in this issue area	ı		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Shannon	Penberthy			
Amy	Rosenbaum			
Rosalyn	Kumar			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Melissa	Schulman			
19. Interest of each foreign entity in	n the specific issues listed on line	16 above	Check if None	

- 15. General issue area code TAX
- 16. Specific lobbying issues

Issues related to health	savings accounts, the health insura	nce tax, and other taxes in	cluded in the Affordable Care Act.	
S. 1948, The Chronic D	irus Aid, Relief, and Economic Se risease Management Act of 2019 risease Management Act of 2020	curity Act		
17. House(s) of Congres	ss and Federal agencies Checl	c if None		
U.S. SENATE, U.S. HO	OUSE OF REPRESENTATIVES			
18. Name of each indivi	dual who acted as a lobbyist in thi	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sarah	Schmidt			
Elizabeth	Brooks			
Shannon	Penberthy			
19. Interest of each fore	ign entity in the specific issues list	red on line 16 above	Check if None	

- 15. General issue area code MMM
- 16. Specific lobbying issues

Issues related to the Medicare Part D, Medicare Advantage, Medicaid, and ESRD programs.						
Issues related to CMS Medicare Advantage Advance Rate Notice and Draft Call Letter for 2020.						
H.R. 803/S. 988, The Imp H.R. 3408, The Shop Rx S. 476, The Creating Tran S. 1895, The Lower Heal S.2543, The Prescription S.2751, The Patient Acce S. 3013, The Encouragin	us Aid, Relief, and Economic Sec proving Transparency and Accura Act of 2019 Insparency to Have Drug Rebates Uth Care Costs Act of 2019 Drug Pricing Reduction Act of 20 Ess to ESRD New Innovative Devig Innovative Benefit Design to Lo	cy in Medicare Part D Sp Unlocked Act of 2019 019 ices Act of 2019				
S. 3129, The Lower Cost	s, More Cures Act of 2019					
17. House(s) of Congress	and Federal agencies	if None				
U.S. SENATE, U.S. HOU	JSE OF REPRESENTATIVES, H	ealth & Human Services	- Dept of (HHS), White House Office			
18. Name of each individ	ual who acted as a lobbyist in this	issue area				
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New		
Elizabeth	Brooks					
Shannon	Penberthy					
Amy	Rosenbaum					
Melissa	Schulman					
Rosalyn	Kumar					
Sarah	Schmidt					
Joy	McGlaun					
Glen	Glen Chambers					
19. Interest of each foreig	n entity in the specific issues liste	ed on line 16 above	Check if None			

			al issue areas in which the registrant engaged in lobbying ride information as requested. Add additional page(s) as n	
15. General issue area code HCR				
16. Specific lobbying issues				
Issues related to implementation	and changes to the Affordable Care	e Act.		
17. House(s) of Congress and Fed	deral agencies Check if None			
U.S. HOUSE OF REPRESENTA	TIVES, U.S. SENATE			
18. Name of each individual who	acted as a lobbyist in this issue are	ea		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Rosalyn	Kumar			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
19. Interest of each foreign entity	in the specific issues listed on line	16 above	Check if None	

of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed. 15. General issue area code CPT 16. Specific lobbying issues Issues related to barriers to generic drug and biosimilar market entry. H.R. 985, The Fair Access for Safe and Timely Generics Act of 2019 17. House(s) of Congress and Federal agencies 

Check if None U.S. SENATE, U.S. HOUSE OF REPRESENTATIVES 18. Name of each individual who acted as a lobbyist in this issue area First Name Last Name Suffix Covered Official Position (if applicable) New Elizabeth Brooks Rosenbaum Amy Rosalyn Kumar Sarah Schmidt Joy McGlaun Glen Chambers 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf

- 15. General issue area code INS
- 16. Specific lobbying issues

Issues related to employe	er, small group and individual insu	rance markets, including	surprise billing.		
H.R. 3107, The Improving H.R. 3630, The No Surp S. 657, The Drug Price T S. 1323, The Utilizing N S. 1531, The Stopping the	Transparency Act of 2019	Act of 2019 g Standards and Tools, t	o Address Negative Determinants of Health Act of 20 19	119	
17. House(s) of Congress	s and Federal agencies Check	if None			
U.S. SENATE, U.S. HO	USE OF REPRESENTATIVES				
18. Name of each individ	lual who acted as a lobbyist in this	issue area			
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New	
Amy	Rosenbaum				
Elizabeth	Brooks				
Melissa	Schulman				
Rosalyn	Kumar				
Sarah	Schmidt				
Joy	McGlaun				
Glen	Chambers				
Shannon	Penberthy				
9. Interest of each foreign entity in the specific issues listed on line 16 above Check if None					

- 15. General issue area code LBR
- 16. Specific lobbying issues

Issues related to the co	ompetitive nature of the pharmacy be	nefit management indu	istry.	
S. 1895, The Lower H	ne Prescription Pricing for the People lealth Care Costs Act of 2019 tion Drug Pricing Reduction Act of 2			
17. House(s) of Congr	ress and Federal agencies Check	if None		
U.S. SENATE, U.S. H	IOUSE OF REPRESENTATIVES			
18. Name of each indi	vidual who acted as a lobbyist in this	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Glen	Chambers			
Amy	Rosenbaum			
Sarah	Schmidt			
Joy	McGlaun			
Rosalyn	Kumar			
Shannon	Penberthy			
19. Interest of each for	reign entity in the specific issues liste	ed on line 16 above	Check if None	

LD-2 Disclosure Form

	•		ssue areas in which the registrant engaged in lobbyi e information as requested. Add additional page(s) a	•
15. General issue area o	code VET			
16. Specific lobbying is	ssues			
Issues related to impler	mentation of the VA MISSION Act			
17. House(s) of Congre	ess and Federal agencies Check	if None		
U.S. HOUSE OF REPI	RESENTATIVES			
18. Name of each indiv	idual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			
Rosalyn	Kumar			
19. Interest of each fore	eign entity in the specific issues liste	ed on line 16 above 🗸 C	Check if None	

			e areas in which the registrant engar aformation as requested. Add addit	
15. General issue area code P	POS			
16. Specific lobbying issues				
Issues related to the continua	ntion and affordability of posta	ıl services.		
S. 2965, The USPS Fairness	Act			
17. House(s) of Congress and	d Federal agencies Check	if None		
U.S. SENATE				
18. Name of each individual	who acted as a lobbyist in this	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if ap	pplicable) New
Shannon	Penberthy			
19. Interest of each foreign en	ntity in the specific issues liste	ed on line 16 above Chec	ck if None	
Information Update Page -	Complete ONLY where regi	istration information has ch	anged.	
20. Client new address				
Address				
			Zip Code	Country
21. Client new principal plac	ee of business (if different than	line 20)		
City		State	Zip Code	Country
22. New General description	of client's business or activitie	es		
LOBBYIST UPDAT	E			
23. Name of each previously	reported individual who is no	longer expected to act as a lo	obbyist for the client	
First Name	Last Name	Suffix First Na	me Last Name	Suffix
1	_	3		
_				
ISSUE UPDATE				
24. General lobbying issue th	nat no longer pertains			
AFFILIATED ORG	ANIZATIONS			
25. Add the following affiliat	ted organization(s)			

Internet Address:

/24/2021		Lſ	D-2 Disclosure F	Form			
Name	Street Address		dress ovince Zip	Country		Principal Place of Bu (city and state or cou	
					City State	Countr	у
26. Name of each previously reported	d organization that is n	o longer affiliate	ed with the regi	strant or client	;		
1	2			3			
FOREIGN ENTITIES							
27. Add the following foreign entities	s:						
Name	Address Street Address City State/Proving			place of busine state or countr		Amount of contribution for lobbying activities	Ownership percentage in client
			City State	Country			%
28. Name of each previously reported	d foreign entity that no	longer owns, or	r controls, or is	affiliated with	the regi	strant, client or affiliate	ed organization
1 2	3 4			5			
CONVICTIONS DISCLO		' (.dia - F.d.	1 State Com	, f	:1		11
29. Have any of the lobbyists listed of an illegal kickback, tax evasion, frauce No Yes							mbezziemeni,
Lobbyist Name			]	Description of	Offense	(s)	

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 <a href="http://lobbyingdisclosure.house.gov">http://lobbyingdisclosure.house.gov</a> Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby

# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Organization/Lobbying Firm Self Employed  CVS Health (and subsidiaries)	1 Individual
2. Address Address1 1275 Pennsylvania Avenue, NW	Address2 Suite 700
City Washington State	DC Zip Code 20004 Country USA
3. Principal place of business (if different than line 2)	
City Woonsocket State	RI Zip Code 02895 Country USA
4a. Contact Name b. Telephone N	umber c. E-mail 5 Senate ID#
4a. Contact Name b. Telephone N	5. Senate ID# 302778-12
7. Client Name Self Check if client is a state CVS Health (and subsidiaries)	or local government or instrumentality 6. House ID# 394420000
10. Check if this is a Termination Report   Termination  INCOME OR EXPENSES - YOU	Date 11. No Lobbying Issue Activity  MUST complete either Line 12 or Line 13
12. Lobbying	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSE</b> relating to lobbying activities for this reporting period were:
<u>Less than \$5,000</u>	<u>Less than \$5,000</u>
\$5,000 or more \$	\$5,000 or more \$ 2,120,000.00
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING</b> Check box to indicate expense accounting method. See instructions for description of options.
	Method A. Reporting amounts using LDA definitions only
	Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
	Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
Signature Digitally Signed By: Shannon Penberthy	Date 7/20/2020 5:36:55 PM

16. Specific lobbying i	issues			
S. 1895, The Lower H S. 2543, The Prescript	cation adherence, drug price transpare fealth Care Costs Act of 2019 tion Drug Pricing Reduction Act of 2 n and Economic Recovery Omnibus I	019		
	ess and Federal agencies Check			
U.S. SENATE, U.S. H	OUSE OF REPRESENTATIVES, H	ealth & Human Services	- Dept of (HHS), White House Office	
18. Name of each indi	vidual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Shannon	Penberthy			
Amy	Rosenbaum			
Rosalyn	Kumar			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Melissa	Schulman			

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None	

- 15. General issue area code TAX
- 16. Specific lobbying issues

Issues related to health	savings accounts, the health insuran	ice tax, and other taxes in	cluded in the Affordable Care Act.	
S. 3200, The Chronic I H.R. 6776, The JOBS (	Disease Management Act of 2019 Disease Management Act of 2020 Credit Act of 2020 and Economic Recovery Omnibus I	Emergency Solutions Act	of 2020	
17. House(s) of Congre	ss and Federal agencies Check	if None		
U.S. SENATE, U.S. HO	OUSE OF REPRESENTATIVES			
18. Name of each indiv	idual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sarah	Schmidt			
Elizabeth	Brooks			
19. Interest of each fore	eign entity in the specific issues liste	d on line 16 above C	heck if None	

- 15. General issue area code MMM
- 16. Specific lobbying issues

	are Part D, Medicare Advantage icare Advantage Advance Rate		ng increasing Medicaid funding to states), and the ESRD pro Il Letter for 2020.	gram.
S. 1895, The Lower Health S.2543, The Prescription D S.2751, The Patient Access	Economic Recovery Omnibus Care Costs Act of 2019 rug Pricing Reduction Act of 20 to ESRD New Innovative Dev Innovative Benefit Design to Lo	019 ices Act of 2019		
17. House(s) of Congress as	nd Federal agencies Check	if None		
U.S. SENATE, U.S. HOUS	E OF REPRESENTATIVES, H	Iealth & Human Ser	vices - Dept of (HHS), White House Office	
18. Name of each individua	l who acted as a lobbyist in this	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Amy	Rosenbaum			
Rosalyn	Kumar			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Kaitlyn	Lane		Legislative correspondent, staff assistant, and legislative assistant; Congresswoman Debbie Wasserman Schultz	<b>✓</b>
Shannon	Penberthy			
19. Interest of each foreign	entity in the specific issues liste	ed on line 16 above	Check if None	•

		•	ssue areas in which the registrant engaged in lobbyin e information as requested. Add additional page(s) as	•
15. General issue area code HCR				
16. Specific lobbying issues				
Issues related to implementation	and changes to the Affordable	Care Act.		
17. House(s) of Congress and Fed	deral agencies Check if N	one		
U.S. HOUSE OF REPRESENTA	TIVES, U.S. SENATE			
18. Name of each individual who	acted as a lobbyist in this issu	e area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Rosalyn	Kumar			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
19. Interest of each foreign entity	in the specific issues listed on	line 16 above	Check if None	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

- 15. General issue area code INS
- 16. Specific lobbying issues

	ver, small group and individual insu	urance markets, includir	ng surprise billing and increasing COBRA subsidies for	individuals
to maintain coverage.				
H.R. 3107, The Improvi	ing Seniors Timely Access to Care	Act of 2019		
H.R. 3630, The No Surj	•			
H.R. 6800, The Health	and Economic Recovery Omnibus	Emergency Solutions A	act of 2020	
	Transparency Act of 2019			
			, to Address Negative Determinants of Health Act of 20	19
	the Outrageous Practice Of Surpris	e Medical Bills Act of 2	2019	
S. 1895, The Lower Hea	alth Care Costs Act of 2019			
17. House(s) of Congres	ss and Federal agencies	x if None		
U.S. SENATE, U.S. HC	OUSE OF REPRESENTATIVES, V	White House Office		
18. Name of each indivi	idual who acted as a lobbyist in thi	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Rosenbaum			
Elizabeth	Brooks			
Rosalyn	Kumar			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Shannon	Penberthy			
				_

- 15. General issue area code LBR
- 16. Specific lobbying issues

T 1 1 1 1		<u> </u>		
Issues related to the co	ompetitive nature of the pharmacy ber	efit management indust	ry.	
S. 1895, The Lower H	ne Prescription Pricing for the People ealth Care Costs Act of 2019 ion Drug Pricing Reduction Act of 20			
17. House(s) of Congre	ess and Federal agencies Check i	f None		
U.S. SENATE, U.S. H	OUSE OF REPRESENTATIVES, W	hite House Office		
18. Name of each indiv	vidual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Glen	Chambers			
Amy	Rosenbaum			
Sarah	Schmidt			
Joy	McGlaun			
Rosalyn	Kumar			
Shannon	Penberthy			
19. Interest of each for	reign entity in the specific issues listed	l on line 16 above	Check if None	•

			sue areas in which the registrant e information as requested. Add ad	
15. General issue area code	POS			
16. Specific lobbying issues	S			
Issues related to the continu	uation and affordability of posta	l services.		
S. 2965, The USPS Fairnes	es Act			
17. House(s) of Congress an	nd Federal agencies  Check	if None		
U.S. SENATE				
18. Name of each individua	al who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if	fapplicable) New
Shannon	Penberthy			
19. Interest of each foreign	entity in the specific issues liste	ed on line 16 above Ch	neck if None	
Information Update Page	- Complete ONLY where regi	stration information has	changed.	
20. Client new address				
Address				
City		State	Zip Code	Country
21. Client new principal pla	ace of business (if different than	line 20)		
City		State	Zip Code	Country
22. New General descriptio	n of client's business or activition	es		
L ODDATICE LIDD AT				
LOBBYIST UPDA				
23. Name of each previousl	y reported individual who is no	longer expected to act as a	lobbyist for the client	
First Name	Last Name	Suffix First N	Name Last Name	Suffix
1		3		
2		4		
ISSUE UPDATE				
24. General lobbying issue	that no longer pertains			
AFFILIATED ORG	GANIZATIONS			
25. Add the following affili	ated organization(s)			

https://disclosurespreview.house.gov/ld/ldxmlrelease/2020/Q2/301201033.xml

Internet Address:

/24/2021		Lſ	D-2 Disclosure F	-orm			
Name	Street Address City		dress	Country		Principal Place of Bu (city and state or cou	
					City State	Countr	ту
26. Name of each previously reported	l organization that is no	longer affiliate	ed with the regi	strant or client			
1	2			3			
FOREIGN ENTITIES							
27. Add the following foreign entities	3:						
Name	Address Street Address City State/Province			place of busine		Amount of contribution for lobbying activities	Ownership percentage in client
			City State	Country			%
28. Name of each previously reported	l foreign entity that no lo	onger owns, or	r controls, or is	affiliated with	the regi	strant, client or affiliate	ed organization
1 2	3 4			<b>5</b>			
CONVICTIONS DISCLO		atad in a Eada	mal or State Cou	ert of an offens	e involv	in a brillians, autortian	a-hazzlamant
29. Have any of the lobbyists listed of an illegal kickback, tax evasion, fraucon No Yes							mbezziemeni,
Lobbyist Name			]	Description of (	Offense	(s)	

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 <a href="http://lobbyingdisclosure.house.gov">http://lobbyingdisclosure.house.gov</a> Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby

# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Organization/Lobbying Firm Self Employed  CVS Health (and subsidiaries)	Individual	
2. Address Address1 1275 Pennsylvania Avenue, NW	Address2 Suite 700	
City Washington State		Country USA
3. Principal place of business (if different than line 2)		
City Woonsocket State	RI Zip Code <u>02895</u>	Country <u>USA</u>
4a. Contact Name b. Telephone N	mber c. E-mail	5. Senate ID# 302778-12
7. Client Name Self Check if client is a state CVS Health (and subsidiaries)	r local government or instrument	6. House ID# 394420000
10. Check if this is a Termination Report   Termination  INCOME OR EXPENSES - YOU		No Lobbying Issue Activity ne 12 or Line 13
12. Lobbying	13. 0	rganizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSE relating to lobbying a	ctivities for this reporting period were:
<u>Less than \$5,000</u>	Less than \$5,000	
\$5,000 or more \$	\$5,000 or more \$ 2,2	260,000.00
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING</b> Check box to See instructions for description of	indicate expense accounting method. Foptions.
	Method A. Reporting amoun	ts using LDA definitions only
	Method B. Reporting amoun Internal Revenue Code	ts under section 6033(b)(8) of the
	Method C. Reporting amoun Revenue Code	ts under section 162(e) of the Internal
Signature Digitally Signed By: Shannon Penberthy		Date 10/20/2020 6:04:59 PM

1 -	0			1	DITA
D.	General	issue	area	code	PHA

16.	S	pecific	lot	oby	ing	issues

Issues related to medic	cation adherence, drug price transpare	ency, prescription drug al	ouse, and COVID testing.	
S. 2543, The Prescript	ealth Care Costs Act of 2019 ion Drug Pricing Reduction Act of 2 and Economic Recovery Omnibus I		of 2020	
17. House(s) of Congre	ess and Federal agencies Check	if None		
U.S. SENATE, U.S. H	OUSE OF REPRESENTATIVES, H	ealth & Human Services	- Dept of (HHS), White House Office	
18. Name of each indiv	vidual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Shannon	Penberthy			
Amy	Rosenbaum			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Melissa	Schulman			
Kaitlyn	Lane			
	reign entity in the specific issues liste	d on line 16 above 🖳 (	Check if None	

- 15. General issue area code TAX
- 16. Specific lobbying issues

Issues related to health	savings accounts, the health insura	ince tax, and other taxes in	cluded in the Affordable Care Act.	
H.R. 6776, The JOBS H.R. 6800, The Health	Credit Act of 2020 and Economic Recovery Omnibus	Emergency Solutions Act	of 2020	
17. House(s) of Congre	ess and Federal agencies   Check	k if None		
U.S. SENATE, U.S. H	OUSE OF REPRESENTATIVES			
18. Name of each indiv	vidual who acted as a lobbyist in thi	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Sarah	Schmidt			
Elizabeth	Brooks			
19. Interest of each for	eign entity in the specific issues list	ed on line 16 above	Check if None	

- 15. General issue area code MMM
- 16. Specific lobbying issues

Issues related to the Mo	edicare Part D, Medicare Advantage	e, Medicaid (including inc	reasing Medicaid funding to states), and the ESRD	program.
Issues related to CMS I	Medicare Advantage Advance Rate	Notice and Draft Call Let	ter for 2020.	
H.R. 6800, The Health H.R. 7659, The Ensurin H.R. 8245/S. 4574, The S. 1895, The Lower He S.2543, The Prescription S. 3013, The Encourage	and Economic Recovery Omnibus and Economic Recovery Omnibus and Parity for MA in Audio-only Tele Bringing Enhanced Treatments are alth Care Costs Act of 2019 on Drug Pricing Reduction Act of 2 ing Innovative Benefit Design to Losts, More Cures Act of 2019	lehealth Act of 2020 nd Therapies to ESRD Rec	cipients Kidney Care Act of 2020	
	ess and Federal agencies			
U.S. SENATE, U.S. HO	OUSE OF REPRESENTATIVES, F	Health & Human Services	- Dept of (HHS), White House Office	
18. Name of each indiv	idual who acted as a lobbyist in this	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Amy	Rosenbaum			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Kaitlyn	Lane			
Shannon	Penberthy			
19. Interest of each fore	eign entity in the specific issues list	ed on line 16 above 🕜 C	Check if None	•

			ssue areas in which the registrant engaged in lobbying in the information as requested. Add additional page(s) a	
15. General issue area co	ode HCR			
16. Specific lobbying iss	ues			
Issues related to implem	entation and changes to the Afford	lable Care Act.		
17. House(s) of Congres	s and Federal agencies Check	if None		
U.S. HOUSE OF REPR	ESENTATIVES, U.S. SENATE			
18. Name of each individ	dual who acted as a lobbyist in this	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
19. Interest of each forei	gn entity in the specific issues list	ed on line 16 above	Check if None	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

- 15. General issue area code INS
- 16. Specific lobbying issues

Issues related to employ to maintain coverage.	yer, small group and individual ins	urance markets, including	surprise billing and increasing COBRA subsidies for	or individuals
H.R. 3630, The No Sur H.R. 6800, The Health S. 1531, The Stopping t	ing Seniors Timely Access to Care prises Act of 2019 and Economic Recovery Omnibus the Outrageous Practice Of Surpris alth Care Costs Act of 2019	Emergency Solutions Ac		
17. House(s) of Congre	ss and Federal agencies Check	k if None		
U.S. SENATE, U.S. HO	OUSE OF REPRESENTATIVES, '	White House Office		
18. Name of each indiv	idual who acted as a lobbyist in thi	is issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Rosenbaum			
Elizabeth	Brooks			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Shannon	Penberthy			
Kaitlyn	Lane			

- 15. General issue area code LBR
- 16. Specific lobbying issues

Issues related to the compo	etitive nature of the pharmacy b	enefit management inc	dustry.		
S. 1895, The Lower Health S. 2543, The Prescription S. 4317, The Safe to Work	Drug Pricing Reduction Act of	2019			
17. House(s) of Congress a	and Federal agencies  Check	k if None			
U.S. SENATE, U.S. HOU	SE OF REPRESENTATIVES, V	White House Office			
18. Name of each individu	al who acted as a lobbyist in thi	is issue area			
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New	
Elizabeth	Brooks				
Glen	Chambers				
Amy	Rosenbaum				
Sarah	Schmidt				
Joy	McGlaun				
Shannon	Penberthy				
19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None					

- 15. General issue area code POS
- 16. Specific lobbying issues

Issues related to the co	ntinuation and affordability of posta	al services		
issues related to the co	numation and arrorationity of post	ii bei vices.		
H.R. 6800, The Health	and Economic Recovery Omnibus	Emergency Solutions Act	of 2020	
S. 2965, The USPS Fa:	irness Act			
17. House(s) of Congre	ess and Federal agencies	t if None		
U.S. SENATE, U.S. H	OUSE OF REPRESENTATIVES			
18. Name of each indiv	ridual who acted as a lobbyist in this	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			
19. Interest of each for	eign entity in the specific issues list	ed on line 16 above	Check if None	

of the client during the repor	ting period. Using a separate p	page for each code,	provide information	n as requested. Add additions	al page(s) as needed.
15. General issue area code (	CSP				
16. Specific lobbying issues					
Issues related to organized re	etail crime and product safety.				
H.R. 7756/S. 3417, The Inte	grity, Notification and Fairnes	s in Online Retail M	Marketplaces for Co	onsumers Act of 2020	
17 House(s) of Congress an	d Federal agencies Check	if None			
U.S. SENATE, U.S. HOUSE	<del>-</del>	II Ivone			
	who acted as a lobbyist in this	issue area			
First Name	Last Name	Suffix	Cove	red Official Position (if appli	cable) New
Shannon	Penberthy	Sullix	Cove		cable) INEW
Elizabeth	Brooks				
Sarah	Schmidt				
10.1.		1 1 16 1	CI 1 CN		
19. Interest of each foreign e	entity in the specific issues liste	ed on line 16 above	Check if Non	e	
Information Update Page -	Complete ONLY where regi	stration informati	on has changed.		
20. Client new address					
Address					_
City		S	State	Zip Code	Country
21. Client new principal plac	ce of business (if different than	line 20)			
City _			State	Zip Code	Country
	of client's business or activiti				
<b>2.</b> (1.0)	01 41410 0 0 4151114 0 0 1 4141 1 1 1				
LOBBYIST UPDAT	E				
23. Name of each previously	reported individual who is no	longer expected to	act as a lobbyist fo	or the client	
First Name	Last Name	Suffix	First Name	Last Name	Suffix
1 Rosalyn	Kumar	3			
2		4			
ISSUE UPDATE					
24. General lobbying issue the	hat no longer pertains				

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf

## AFFILIATED ORGANIZATIONS

25. Add the following affiliated	organization(s)							
Internet Address:								
Name	Str Cit	eet Address y	Add		Country	City State	Principal Place of Bu (city and state or con	untry)
26. Name of each previously rep	orted organizatio	n that is no long	ger affiliate	ed with the regis	strant or client	I		
1		2			3			
FOREIGN ENTITIES  27. Add the following foreign en	ıtities:							
Name	Street Add City S	Address dress State/Province	Country		place of busin state or count		Amount of contribution for lobbying activities	Ownership percentage in client
				City State	Country			9,
28. Name of each previously rep	orted foreign ent	ity that no long	er owns, or	controls, or is	affiliated with	the regis	strant, client or affiliate	ed organization
1 2		3			<u>5</u>			
CONVICTIONS DISC	LOSURE							
29. Have any of the lobbyists list an illegal kickback, tax evasion,  No Yes								embezzlement
Lobbyist Na	me			I	Description of	Offense	(s)	

Clerk of the House of Representatives Legislative Resource Center 135 Cannon Building Washington, DC 20515 <a href="http://lobbyingdisclosure.house.gov">http://lobbyingdisclosure.house.gov</a> Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510 http://www.senate.gov/lobby

# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Organization/Lobbying Firm Self Employed  CVS Health (and subsidiaries)	d Individual				
2. Address Address1 1275 Pennsylvania Avenue, NW	Address2	Suite 700	1		
			20004	Country	LICA
City Washington State	<u>DC</u> 2	Cip Code	20004	Country	USA
3. Principal place of business (if different than line 2)					
City Woonsocket State	<u>RI</u> Z	Cip Code	02895	Country	USA
4a. Contact Name b. Telephone N	umber c. E-	mail		5. Senate ID 302778-12	)#
7. Client Name					<b>)</b> #
10. Check if this is a Termination Report   INCOME OR EXPENSES - YOU		omplete			J
12. Lobbying			13. Organizations		
<b>INCOME</b> relating to lobbying activities for this reporting period was:		_	o lobbying activities for this re	porting period	d were:
<u>Less than \$5,000</u>	Less than S	\$5, <u>000</u>			
\$5,000 or more \$	\$5,000 or 1	more	\$ 2,300,000.00		
Provide a good faith estimate, rounded to the nearest \$10,000, of all lobbying related income for the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING</b> Check box to indicate expense accounting method. See instructions for description of options.				thod.
	Metho	d A. Repo	orting amounts using LDA defi	nitions only	
	Metho Internal Re	_	rting amounts under section 60 de	933(b)(8) of th	ne
Method C. Reporting amounts under section 162(e) of the Internative Code					ıternal
Signature Digitally Signed By: Shannon Penberthy			Da	ate 1/21/ 6:59:	2021 40 PM

- 15. General issue area code PHA
- 16. Specific lobbying issues

Issues related to medication	on adherence, drug price transpar	ency, prescription drug ab	ouse, and COVID testing and vaccination.	
S. 2543, The Prescription	th Care Costs Act of 2019  Drug Pricing Reduction Act of 2  d Economic Recovery Omnibus I Appropriations Act, 2021		of 2020	
17. House(s) of Congress	and Federal agencies Check	if None		
U.S. SENATE, U.S. HOU	JSE OF REPRESENTATIVES, H	ealth & Human Services	- Dept of (HHS), White House Office	
18. Name of each individu	ual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Shannon	Penberthy			
Amy	Rosenbaum			
Sarah	Schmidt			
Joy	McGlaun			
Kaitlyn	Lane			
Melissa	Schulman			
Melissa	Schulman			
19. Interest of each foreig	n entity in the specific issues liste	d on line 16 above 🕜 (	Check if None	•

- 15. General issue area code TAX
- 16. Specific lobbying issues

Issues related to health savings accounts, the health insurance tax, and other taxes included in the Affordable Care Act.						
H.R. 6776, The JOBS Credit Act of 2020 H.R. 6800, The Health and Economic Recovery Omnibus Emergency Solutions Act of 2020 S. 4124, Healthy Workplaces Tax Credit H.R. 133, Consolidated Appropriations Act, 2021						
	ss and Federal agencies Chec	ck if None				
U.S. SENATE, U.S. HC	OUSE OF REPRESENTATIVES					
18. Name of each indivi	dual who acted as a lobbyist in th	nis issue area				
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New		
Sarah	Schmidt					
Elizabeth	Brooks					
Shannon	Penberthy					
Melissa	Schulman					
19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None						

- 15. General issue area code MMM
- 16. Specific lobbying issues

16. Specific lobbying issues				
Issues related to the Medicare Part	D, Medicare Advantage, Medicaid	l (includin	g increasing Medicaid funding to states), and the ESRD pro	gram.
Issues related to CMS Medicare Ac	Ivantage Advance Rate Notice and	l Draft Ca	l Letter for 2020.	
Issues related to reimbursement lev	els for COVID testing and vaccina	ation.		
H.R. 3, The Lower Drug Costs Nov H.R. 6800, The Health and Econon H.R. 7659, The Ensuring Parity for H.R. 8245/S. 4574, The Bringing E S. 1895, The Lower Health Care Co S.2543, The Prescription Drug Pric S. 3013, The Encouraging Innovati	nic Recovery Omnibus Emergency r MA in Audio-only Telehealth Act Enhanced Treatments and Therapies osts Act of 2019 ging Reduction Act of 2019	t of 2020 s to ESRE	Recipients Kidney Care Act of 2020	
S. 3129, The Lower Costs, More C	e e			
17. House(s) of Congress and Feder				
U.S. SENATE, U.S. HOUSE OF R	EPRESENTATIVES, Health & Hu	ıman Serv	ices - Dept of (HHS), White House Office	
18. Name of each individual who ac	cted as a lobbyist in this issue area			
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			

First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Amy	Rosenbaum			
Sarah	Schmidt			
Joy	McGlaun			
Kaitlyn	Lane			
Shannon	Penberthy			
Melissa	Schulman			

9. Interest of each foreign entity in the specific issues listed on line 16 above Check if None					

of the client during the	reporting period. Using a separate p	page for each code, provide	de information as requested. Add additional page(s) a	s needed.
15. General issue area	code HCR			
16. Specific lobbying i	ssues			
Issues related to imple	mentation and changes to the Afford	lable Care Act.		
17. House(s) of Congre	ess and Federal agencies Check	if None		
U.S. HOUSE OF REP	RESENTATIVES, U.S. SENATE			
18. Name of each indiv	vidual who acted as a lobbyist in this	s issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Sarah	Schmidt			
Joy	McGlaun			
19. Interest of each for	eign entity in the specific issues liste	ed on line 16 above	Check if None	•

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf
of the client during the reporting period. Using a separate page for each code, provide information as requested. Add additional page(s) as needed.

- 15. General issue area code INS
- 16. Specific lobbying issues

16. Specific lobbying is	sues			
Issues related to employ to maintain coverage.	er, small group and individual insu	rance markets, including	surprise billing and increasing COBRA subsidies for	r individuals
H.R. 3630, The No Sur H.R. 6800, The Health S. 1531, The Stopping t S. 1895, The Lower He	ing Seniors Timely Access to Care prises Act of 2019 and Economic Recovery Omnibus I the Outrageous Practice Of Surprise alth Care Costs Act of 2019 Appropriations Act, 2021	Emergency Solutions Ac		
	ss and Federal agencies Check			
U.S. SENATE, U.S. HC	OUSE OF REPRESENTATIVES, W	/hite House Office		
18. Name of each indivi	idual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Amy	Rosenbaum			
Elizabeth	Brooks			
Sarah	Schmidt			
Joy	McGlaun			
Glen	Chambers			
Shannon	Penberthy			
Kaitlyn	Lane			
Melissa	Schulman			
19. Interest of each fore	ign entity in the specific issues liste	d on line 16 above	Check if None	

- 15. General issue area code LBR
- 16. Specific lobbying issues

Issues related to the con	npetitive nature of the pharmacy be	nefit management industr	y.	
S. 2543, The Prescriptio S. 4317, The Safe to Wo	alth Care Costs Act of 2019 in Drug Pricing Reduction Act of 20 ork Act Appropriations Act, 2021	019		
17. House(s) of Congres	s and Federal agencies Check	if None		
U.S. SENATE, U.S. HO	USE OF REPRESENTATIVES, W	hite House Office		
18. Name of each indivi-	dual who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Elizabeth	Brooks			
Amy	Rosenbaum			
Sarah	Schmidt			
Joy	McGlaun			
Shannon	Penberthy			
Melissa	Schulman			
19. Interest of each forei	gn entity in the specific issues liste	d on line 16 above 🕜 (	Check if None	

- 15. General issue area code POS
- 16. Specific lobbying issues

Issues related to the continua	ation and affordability of postal	services.		
H.R. 6800, The Health and I S. 2965, The USPS Fairness H.R. 133, Consolidated App		mergency Solutions Act of	of 2020	
17. House(s) of Congress an	d Federal agencies Check	f None		
U.S. SENATE, U.S. HOUSE	E OF REPRESENTATIVES			
18. Name of each individual	who acted as a lobbyist in this	issue area		
First Name	Last Name	Suffix	Covered Official Position (if applicable)	New
Shannon	Penberthy			
19. Interest of each foreign e	entity in the specific issues listed	on line 16 above Ch	neck if None	

of the client during the repor	ting period. Using a separate	page for each code,	provide information	on as requested. Add additiona	al page(s) as needed.
15. General issue area code (	CSP				
16. Specific lobbying issues					
Issues related to organized re	etail crime and product safety	•			
H.R. 7756/S. 3417, The Integral	grity, Notification and Fairne	ss in Online Retail I	Marketplaces for C	Consumers Act of 2020	
17 House(s) of Congress and	d Federal agencies Chec	k if None			
U.S. SENATE, U.S. HOUSE		X II IVOIIC			
	who acted as a lobbyist in thi	is issue area			
First Name	Last Name	Suffix	l Cove	ered Official Position (if applic	cable) New
Shannon	Penberthy	Sullix		ered Official Fosition (II applic	cable) INEW
Elizabeth	Brooks				
Sarah	Schmidt				
10.1.		. 1 1 16 1	CL 1 CN		
19. Interest of each foreign e	entity in the specific issues list	ed on line 16 above	Check if Noi	ne	
Information Update Page -	Complete ONLY where reg	istration informat	ion has changed.		
20. Client new address					
Address					_
City			State	Zip Code	Country
21. Client new principal plac	ee of business (if different that	n line 20)			
City			State	Zip Code	Country
	of client's business or activit			_	
<b>2.</b> (1.0)		100			
LOBBYIST UPDAT	`E				
23. Name of each previously	reported individual who is no	o longer expected to	act as a lobbyist f	For the client	
First Name	Last Name	Suffix	First Name	Last Name	Suffix
1 Glen	Chambers	3			
2	_	4			
ISSUE UPDATE					
24. General lobbying issue th	nat no longer pertains				
,					

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf

## AFFILIATED ORGANIZATIONS

25. Add the following affiliated org	anization(s)							
Internet Address:								
Name	Street A City	Address  Street Address  City State/Province Zip Country		Country	City	Principal Place of Business (city and state or country)		
								у
26. Name of each previously reporte	ed organization that	t is no longer af	ffiliated	d with the regis	strant or client			
1	2				3			
FOREIGN ENTITIES  27. Add the following foreign entiti	es:							
Name	Name Address  Street Address  City State/Province		untry	Principal place of business (city and state or country)			Amount of contribution for lobbying activities	Ownership percentage ir client
				City State	Country			9/
28. Name of each previously reported	ed foreign entity that	at no longer ow	ns, or	controls, or is	affiliated with	the regis	strant, client or affiliate	ed organization
1 2	<u>3</u>				<u>5</u>			
CONVICTIONS DISCLO	OSURE							
29. Have any of the lobbyists listed an illegal kickback, tax evasion, fram No Yes								embezzlement
Lobbyist Name				I	Description of	Offense	(s)	